

## AN ENHANCED PARTICLE SWARM OPTIMIZATION FOR DYNAMIC ECONOMIC DISPATCH PROBLEM CONSIDERING VALVE-POINT LOADING

Pichet Sriyanyong

Department of Teacher Training in Electrical Engineering, Faculty of Technical Education  
 King Mongkut's University of Technology North Bangkok, Bangkok 10800, Thailand  
 psyy@kmutnb.ac.th

### ABSTRACT

This paper proposes an enhanced Particle Swarm Optimization (EPSO), where a modified heuristic search method is incorporated with Particle Swarm Optimization (PSO) in order to address the Dynamic Economic Dispatch (DED) problem, while it is also aimed at overcoming the deficiency of the solution feasibility. To verify the performance of the proposed EPSO, it is tested on the 10-unit systems considering both smooth and non-smooth cost functions characteristic. The results from optimizing the standard test systems show that the proposed technique is indeed better than other approaches in terms of the solution quality. Thus, it can be concluded that the EPSO is recommended to be a promising method for solving the DED problem.

### KEY WORDS

Particle Swarm Optimization (PSO), Dynamic Economic Dispatch (DED)

### Nomenclature

$TC$	total production cost ,
$F_{it}(P_{it})$	fuel cost of $i^{\text{th}}$ generator at hour $t$ ,
$P_{it}$	power output of $i^{\text{th}}$ generator at hour $t$ ,
$P_{Dt}$	power demand at hour $t$ ,
$P_{i(\min)}$	minimum power output of $i^{\text{th}}$ generator,
$P_{i(\max)}$	maximum power out put of $i^{\text{th}}$ generator,
$UR_i$	upper limits of ramp rate of $i^{\text{th}}$ generator,
$DR_i$	lower limits of ramp rate of $i^{\text{th}}$ generator,
$N$	number of generators,
$T$	number of hours,
$v_{id}^t$	velocity of $i^{\text{th}}$ particle at iteration $t$ in $d$ -dimensional space,
$x_{id}^t$	current position of $i^{\text{th}}$ particle at iteration $t$ in $d$ -dimensional space,
$w$	inertia weight factor,
$t$	number of iterations,
$k$	constriction factor,
$c_1, c_2$	acceleration constant.

### 1. Introduction

Today the modern power system is more dynamic and its operation is a subject to a number of constraints that are reflected in various management and planning tools used by system operators. In the case of hourly generation planning, the traditional Static Economic Dispatch (SED) allocates the outputs of all committed generating units by considering the static behaviour of them, while the Dynamic Economic Dispatch (DED) schedules the generating outputs of all on-line units over a time horizon by taking the ramp rate constraints into account. That makes the DED problem more difficult [1-3]. Thus, the accurate solutions of the DED are essential in order to operate the power system in an economic and efficient manner.

Up to now, a number of computation techniques have progressively been proposed to solve this critical issue, for example Linear Programming [4], Lagrangian Relaxation [5], Genetic Algorithm (GA) [6], Simulated Annealing (SA) [7], Evolutionary Programming (EP) [2], etc. One of them is a Particle Swarm Optimization (PSO), which belongs to the evolutionary computation techniques, and it has attracted a great attention of the research community since it has been found to be extremely effective in solving a wide range of engineering problems. The attractive characteristics of PSO include: ease of implementation, fast convergence compared with the traditional evolutionary computation techniques and stable convergence characteristic.

This paper proposes the application of PSO to the DED problem, which occurs in the operational planning of power systems. To solve the DED problem, the PSO algorithm is firstly adopted. Subsequently, a modified heuristic search is utilized to enhance the PSO performance by dealing with the operating constraints including the ramp rate constraints. The strength of the modified version is that it increases a possibility of generating feasible solutions. To investigate the efficiency of the proposed method, the standard test systems with smooth and non-smooth cost functions have been considered.

The organization of this paper is as follows: section 2 presents the problem formulation of DED problem, while in section 3 briefly presents the details of the different cost function characteristics. Section 4 the overview of PSO algorithm is introduced. Section 5, the implementation of the proposed EPSO algorithm is presented. Simulation results will be shown and discussed in section 6. Finally, a summary is made in section 7.

## 2. Problem Formulation

Dynamic Economic Dispatch (DED) problem is to determine the optimum scheduling of generation at a certain period of time that minimizes the total production cost while satisfying equality and inequality constraints, i.e. power balance and operating limits, and ramp rate constraints, respectively. In general, the mathematical model of the DED problem is as follows [2]:

$$\text{Minimize : } TC = \sum_{t=1}^T \sum_{i=1}^N F_{it}(P_{it}) \quad (1)$$

Subject to:

a) Power balance constraint

$$\sum_{i=1}^N P_{it} = P_{Dt} \quad (2)$$

b) Operating limit constraints

$$P_{i(\min)} \leq P_{it} \leq P_{i(\max)} \quad (3)$$

c) Ramp rate constraints

$$-DR_i \leq P_{i,t} - P_{i,t-1} \leq UR_i \quad (4)$$

## 3. Characteristics of Cost Function

From the different characteristics of cost function; therefore, they can be categorized as DED problem with smooth cost functions (the standard DED) and DED problem with non-smooth cost functions (the practical DED) as presented in [1-4].

### 3.1 Smooth cost function

For the sake of simplicity, the cost function of the standard DED problem (smooth cost functions) is generally a single quadratic function. The generator's fuel cost function can be represented by [1, 4, 8]:

$$F_i(P_i) = a_i P_i^2 + b_i P_i + c_i. \quad (5)$$

### 3.2 Non-smooth cost function

In some large generators, their cost functions are also non-linear, due to the effect of valve-point loading [9]. Taking the valve point loading into account will increase multiple local minimum points in the cost function and make the problem more difficult [10]. The fuel cost function with valve-point loading can be expressed as [2, 3, 11]:

$$F_i(P_i) = a_i P_i^2 + b_i P_i + c_i + |e_i \times \sin(f_i \times (P_{i(\min)} - P_i))|. \quad (6)$$

The example of both smooth and non-smooth cost functions is shown in Fig. 1.

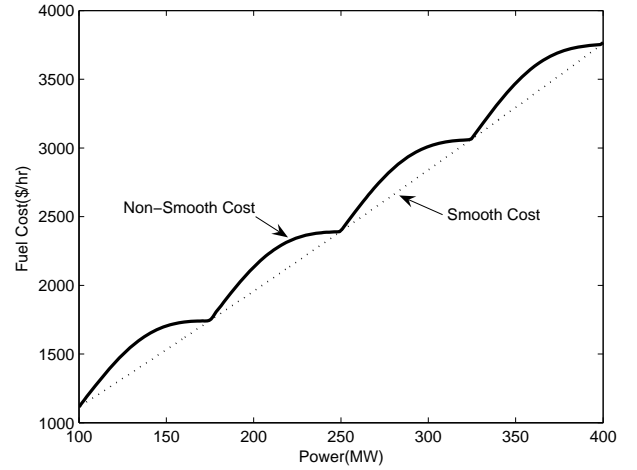


Figure 1. The plotting of cost curve with smooth and non-smooth cost characteristics

## 4. Particle Swarm Optimization

Particle Swarm Optimization (PSO) [12] is one of the modern algorithms used to solve global optimization problems [13], and it is based on similar principles as the previous methods. Thus, to solve an optimization problem, PSO applies a simplified social model, which for instance Zoologists might use to explain the movement of individuals within a group [14]. To begin with, PSO initializes a population of random solutions each of which is defined as a “particle”. Initially, every particle flies into a problem hyperspace at a random velocity. Thereafter, each particle adjusts its travelling speed dynamically corresponding to the flying experiences of itself and its colleagues [15, 16]. The PSO computation will keep updating the velocity and position of the particles until it finds a global optimal solution. Therefore, the updated velocity and position of each particle can be calculated as following equations [13, 17-19]:

$$v_{id}^{t+1} = k \times [w \cdot v_{id}^t + c_1 \times rand_1 \times (pbest_{id} - x_{id}^t) + c_2 \times rand_2 \times (gbest_d - x_{id}^t)], \quad (7)$$

$$x_{id}^{t+1} = x_{id}^t + v_{id}^{t+1}. \quad (8)$$

Constriction factor ( $k$ ) is expressed by:

$$k = \frac{2}{|2 - \varphi - \sqrt{\varphi^2 - 4\varphi}|}, \quad \varphi = c_1 + c_2, \quad \varphi > 4, \quad (9)$$

where  $\varphi$  is generally set to 4.1, both  $c_1$  and  $c_2$  are set to 2.05 and  $k$  is 0.729 as presented in [20].

## 5. Implementation of EPSO algorithm in DED problem

The EPSO technique utilized an idea of the incorporation of a modified heuristic method into the basic PSO algorithm so as to address the DED problem. In this research, the standard PSO has been adopted and also incorporated with a modified heuristic search, which is modified and developed from [14] and [21], for manipulating the equality and inequality constraints. The strength of the modified version is that it increases a possibility of generating feasible solutions by employing the principle of randomization. The implementation of the proposed EPSO method can therefore be expressed in details as follows:

### 5.1 Step 1. Initialization

Step 1.1: Initialize the system data and parameters of the PSO algorithm (e.g. population size ( $Pop$ ), initial / final inertia weight ( $w_{max}$ ,  $w_{min}$ ), acceleration constant ( $c_1$  and  $c_2$ ), and constriction factor ( $k$ ),

Step 1.2: Randomly initialize positions ( $P_{ij}$ ) and velocities ( $v_{ij}$ ) of each particle in  $i^{th}$  hour of  $j^{th}$  unit,

Step 1.3: Define each particle as  $pbest$ , and the best position of all particles as  $gbest$ .

### 5.2 Step 2.

Update the velocity and the position for each particle using (7) and (8).

### 5.3 Step 3. Modify the positions of the particle

Step 3.1: Set  $i = 1$  and  $j = 1$ , where  $i = 1, 2, \dots, T$  and  $j = 1, 2, \dots, N$ ,

Step 3.2: Randomly select  $L$ -th generator,

Step 3.3: Calculate  $P_{iL}$  using  $P_{iL} = P_D - \sum_{\substack{j=1 \\ j \neq L}}^N P_{ij}$ ,

Step 3.4: Adapt  $P_{iL}$  for its operating limit if  $P_{iL} < P_{iL(min)}$  or  $P_{iL} > P_{iL(max)}$ . Otherwise, go to Step 3.8,

Step 3.5: If  $j \leq \text{total number of generators } (N)$ , let  $j = j+1$ . Otherwise go to Step 3.8,

Step 3.6: Re-random  $L$ -th generator and re-calculate  $P_{iL}$ ,

Step 3.7: Adjust the value of  $P_{iL}$  if it is out of operating limit, and then return to the Step 3.5. Otherwise, go to the next step,

Step 3.8: Calculate the operating limit for the next hour considering ramp rate constraints from  $P_{i+1,j(min)} = P_{ij} - DR_i$  and  $P_{i+1,j(max)} = P_{ij} + UR_i$ ,

Step 3.9: If  $P_{i+1,j(min)} < P_{j(min)}$ , then let  $P_{i+1,j(min)} = P_{j(min)}$  or  $P_{i+1,j(max)} > P_{j(max)}$  then let  $P_{i+1,j(max)} = P_{j(max)}$ ,

Step 3.10: If  $i = \text{total number of hours } (T)$ , then go to Step 4. Otherwise, let  $i = i+1$ , and go to Step 3.2.

### 5.4 Step 4.

Update  $pbest$  and  $gbest$  by evaluating and comparing the fitness value with their previous values.

### 5.5 Step 5.

If the termination criteria are satisfied, then stop. Otherwise, return to Step 2.

## 6. Simulation Results

In this section, the potential of the proposed method is investigated by applying to two different systems. The first system (Case A) is a 10-unit 12-hour system with smooth cost functions [4] and the second system (Case B) is a 10-unit 24-hour system with non-smooth cost functions [2], where the details are given in Appendix. The parameters for experiments are set to: initial inertia weight ( $w_{max}$ ) = 0.9, final inertia weight ( $w_{min}$ ) = 0.4, acceleration constants ( $c_1, c_2$ ) = 2.05, constriction factor ( $k$ ) = 0.73, and number of runs = 30, respectively.

### 6.1 Case A: DED problem with smooth cost function

In this experiment, the parameter settings are: population size ( $Pop$ ) = 10 and maximum iteration = 10000. Table 1 compares the mean cost, the minimum cost, the maximum cost and the standard deviation of the mean costs obtained from the proposed EPSO algorithm with Linear programming (LP). From the results show that the proposed method outperforms in finding the better solution compared with the LP. Furthermore, the best solution obtained from the proposed methods is illustrated in Table 2.

### 6.2 Case B: DED problem with non-smooth cost function

In this case, the simulation parameters of the proposed method are population size ( $Pop$ ) = 20, and maximum number of generations = 20000, respectively. To compare with other methods, the simulation results of the proposed EPSO algorithm is recorded and tabulated with the results of the Evolutionary Programming (EP) [2], the hybrid method between Evolutionary Programming and Sequential Quadratic Programming (EP-SQP) [2], the modified hybrid EP-SQP (MHEP-SQP) [22], the hybrid method between PSO and SQP (PSO-SQP) [3], the PSO-SQP method with the "crazy"<sup>1</sup> particle (PSO-SQP(C)) [3], and the deterministically guided PSO (DGPSO) [23] in Table 3. From the simulation results show that the proposed EPSO method is more efficient and effective than other algorithms as it requires less number of populations and iterations to obtain solution with high quality. The best solution obtained from the proposed methods is tabulated in Table 4 as well.

<sup>1</sup> Crazy particle is re-initialization the velocities of the particle randomly when a random number (0,1) is less than or equal to the predefined probability.

## 7. Conclusion

This paper presents the application of an enhanced PSO (EPSO) to Dynamic Economic Dispatch (DED) problem considering characteristic of smooth and non-smooth cost functions. The EPSO not only utilizes the basic PSO algorithm in order to seek the optimal solution of DED problem, but also enhances its performance by using a modified heuristic method to deal with the constraints and increase the possibility of generating feasible solutions. For investigation and validation of its potential, the EPSO has been implemented and tested on both 10-unit systems (smooth and non-smooth cost functions). Additionally, the experimental results are also compared to other methods. From the simulation results, it can be concluded that the EPSO outperforms others with respect to the quality, the stability as well as reliability of the solutions.

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Table 1 Comparison of calculation results obtained from the proposed EPSO method and LP method for Case A

Method	Pop	Iteration	Run	Mean cost (\$)	Min. cost (\$)	Max. cost (\$)	Std. Dev
LP [4]	-	-	-	-	2,196,939	-	-
EPSO	10	10000	30	2,196,534.979	2,196,534.946	2,196,535.031	0.022

Table 2 The best simulation result obtained from the proposed EPSO method for Case A

Hour	Load (MW)	Generation schedule (MW)										Total cost (\$)
		U1	U2	U3	U4	U5	U6	U7	U8	U9	U10	
1	5560	238.565	354.856	411.599	475.440	415.681	546.819	620	643	907.880	946.160	173395.234
2	5620	243.611	360.850	417.259	481.753	421.280	555.778	620	643	919.161	957.307	176057.858
3	5800	261.674	380.850	439.172	505.441	442.310	588.583	620	643	920	998.970	184199.278
4	5810	262.516	382.780	440.345	506.633	443.286	590.330	620	643	920	1001.111	184658.722
5	5990	280.960	402.779	462.277	530.308	464.422	623.122	620	643	920	1043.131	193057.392
6	6041	286.766	409.900	469.090	537.802	471.119	633.322	620	643	920	1050.000	195481.820
7	6001	282.036	404.562	463.653	531.743	465.695	624.957	620	643	920	1045.354	193578.540
8	5790	260.640	379.988	437.935	503.932	441.160	586.838	620	643	920	996.507	183740.580
9	5680	249.510	367.501	424.638	489.595	428.181	566.645	620	643	920	970.929	178744.593
10	5540	237.049	353.167	409.386	473.454	413.669	543.943	620	643	904.165	942.167	172512.592
11	5690	250.508	368.693	425.789	490.788	429.586	568.327	620	643	920	973.309	179195.013
12	5750	256.633	375.519	433.104	498.729	436.407	579.165	620	643	920	987.443	181913.324
Total												2196534.946

Table 3 Comparison of calculation results obtained from the proposed EPSO method and various methods for Case B

Method	Pop	Iteration	Run	Mean cost (\$)	Min. cost (\$)	Max. cost (\$)	Std. Dev
EP [2]	80	50000	20	1,048,638	-	-	-
EP-SQP [2]	60	30000	20	1,035,748	1,031,746	-	-
MHEP-SQP [22]	60	30000	30	1,031,179	1,028,924	-	-
PSO-SQP [3]	100	30000	30	1,031,371	1,030,773	1,053,983	-
PSO-SQP(C) [3]	100	30000	30	1,028,546	1,027,334	1,033,983	-
DGPSO [23]	60	30000	30	1,030,183	1,028,835	-	-
EPSO	20	20000	30	1,027,890.72	1,023,772.46	1,031,088.35	1773.96

Table 4 The best simulation result obtained from the proposed EPSO method for Case B

Hour	Load (MW)	Generation schedule (MW)										Total cost (\$)
		U1	U2	U3	U4	U5	U6	U7	U8	U9	U10	
1	1036	150.002	135.000	309.122	60.018	73.000	57.266	129.591	47.001	20.001	55	28426.765
2	1110	226.629	135.002	286.381	109.954	73.001	57.016	100.004	47.001	20.011	55	30601.874
3	1258	303.137	214.998	206.411	60.000	121.882	99.978	129.594	47.000	20.000	55	33658.338
4	1406	379.895	222.277	286.410	61.143	74.737	129.937	129.597	47.000	20.004	55	36340.309
5	1480	453.087	222.253	274.241	60.000	73.000	145.765	129.588	47.065	20.000	55	38196.635
6	1628	456.529	231.803	314.741	60.296	122.938	160.000	129.616	77.065	20.013	55	41730.711
7	1702	456.809	311.802	316.050	60.001	73.002	160.000	129.596	89.728	50.012	55	43319.614
8	1776	456.496	391.801	299.930	70.443	73.002	160.000	129.590	119.728	20.012	55	44836.610
9	1924	457.566	460.000	340.000	120.433	122.923	128.121	129.913	90.001	20.043	55	48498.206
10	2072	456.496	460.000	335.248	170.432	172.733	122.459	129.589	120.000	50.043	55	52131.528
11	2146	456.501	459.999	339.573	220.189	222.599	122.508	129.591	119.997	20.043	55	53766.530
12	2220	456.498	459.999	325.022	241.245	222.604	159.999	129.591	119.999	50.043	55	55511.821
13	2072	380.330	460.000	300.801	192.414	222.649	160.000	129.602	120.000	51.205	55	52117.379
14	1924	456.495	396.794	282.968	170.402	172.729	122.453	129.590	116.364	21.206	55	48248.056
15	1776	379.874	396.794	292.788	120.413	172.729	122.448	129.590	86.364	20.000	55	44399.908
16	1554	303.244	316.797	306.440	120.557	122.867	123.136	129.592	56.365	20.003	55	39911.449
17	1480	226.623	309.530	286.665	110.460	172.729	122.401	129.591	47.001	20.000	55	38018.815
18	1628	303.255	309.910	318.944	61.634	222.633	160.000	129.623	47.000	20.001	55	41269.818
19	1776	379.869	389.910	339.951	66.708	222.597	123.432	129.592	48.941	20.002	55	44703.204
20	2072	457.193	460.000	340.000	116.708	224.560	160.000	129.600	78.941	49.999	55	52126.215
21	1924	456.494	391.025	319.916	120.416	222.625	159.993	129.590	48.942	20.001	55	48004.760
22	1628	379.868	311.025	297.333	70.416	172.734	145.033	129.591	47.000	20.000	55	41287.766
23	1332	303.244	231.025	240.835	60.002	122.858	122.447	129.589	47.000	20.000	55	35029.865
24	1184	226.628	151.026	299.131	60.004	73.000	122.619	129.591	47.000	20.000	55	31636.281
Total												1023772.456

## Appendix

Table A.1 Units data for test Case A (10-unit 12-hour system) [4]

Gen.	$P_{\min}$ (MW)	$P_{\max}$ (MW)	a	b	c	UR	DR
1	155	360	0.03720	26.4408	180	20	25
2	320	680	0.03256	21.0771	275	20	25
3	323	718	0.03102	18.6626	352	50	50
4	275	680	0.02875	16.8894	792	50	50
5	230	600	0.03223	17.3998	440	50	50
6	350	748	0.02064	21.6180	348	50	50
7	220	620	0.02268	15.1716	588	100	100
8	225	643	0.01776	14.5632	984	100	150
9	350	920	0.01644	14.3448	1260	100	150
10	450	1050	0.01620	13.5420	1260	100	150

Table A.2 Units data considering valve-point loading for test Case B (10-unit 24-hour system) [2]

Gen.	$P_{\min}$ (MW)	$P_{\max}$ (MW)	a	b	c	e	f	UR	DR
1	150	470	0.00043	21.60	958.20	450	0.041	80	80
2	135	460	0.00063	21.05	1313.6	600	0.036	80	80
3	73	340	0.00039	20.81	604.97	320	0.028	80	80
4	60	300	0.00070	23.90	471.60	260	0.052	50	50
5	73	243	0.00079	21.62	480.29	280	0.063	50	50
6	57	160	0.00056	17.87	601.75	310	0.048	50	50
7	20	130	0.00211	16.51	502.70	300	0.086	30	30
8	47	120	0.00480	23.23	639.40	340	0.082	30	30
9	20	80	0.10908	19.58	455.60	270	0.098	30	30
10	55	55	0.00951	22.54	692.40	380	0.094	30	30

Table A.3 Load demand for test Case A [4]

Hour	Load (MW)	Hour	Load (MW)
1	5560	7	6001
2	5620	8	5790
3	5800	9	5680
4	5810	10	5540
5	5990	11	5690
6	6041	12	5750

Table A.4 Load demand for test Case B [2]

Hour	Load (MW)	Hour	Load (MW)	Hour	Load (MW)	Hour	Load (MW)
1	1036	7	1702	13	2072	19	1776
2	1110	8	1776	14	1924	20	2072
3	1258	9	1924	15	1776	21	1924
4	1406	10	2072	16	1554	22	1628
5	1480	11	2146	17	1480	23	1332
6	1628	12	2220	18	1628	24	1184